



Elettra Sincrotrone Trieste



# **Design and management of a XRPD Beamline for Line Profile Analysis: a realistic ray-tracing approach**

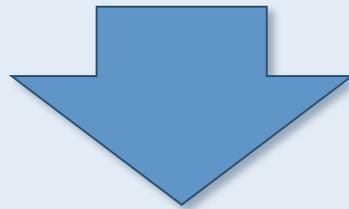
Luca Rebuffi, Elettra-Sincrotrone Trieste (ITA)



Elettra  
Sincrotrone  
Trieste

# Introduction: Scientific Case

- ✓ Synchrotron Radiation X-Ray Powder Diffraction (SR-XRPD) is one of the main tools in the study of nanomaterials.
- ✓ The modern approach to Nanomaterials, Line Profile Analysis (LPA), requires a complete control of the diffracted signal

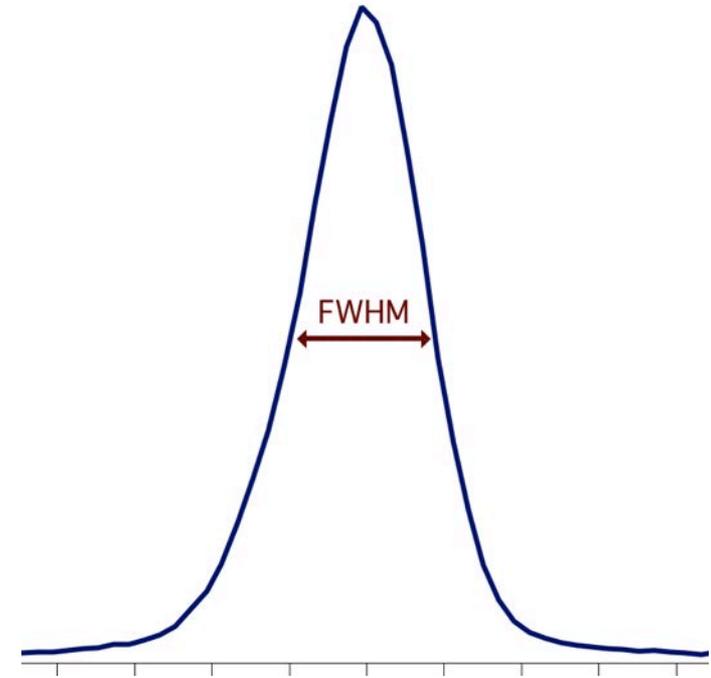


THE OPTICS AND GENERAL SET-UP OF THE BEAMLINER:  
**INSTRUMENTAL PROFILE FUNCTION (IPF)**



# Introduction: Scientific Case

An experimental Powder Diffraction profile shows  
**Peaks Broadening**

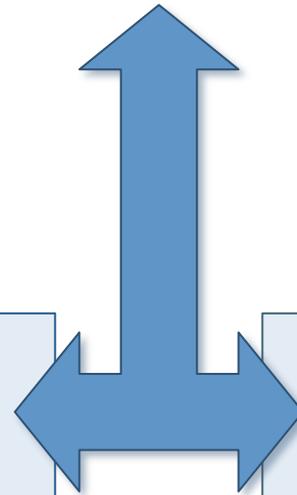


## FROM THE SAMPLE:

- ✓ Crystalline Domains Size Distribution
- ✓ Lattice Strain (Defects)
- ✓ ...

## FROM THE INSTRUMENT:

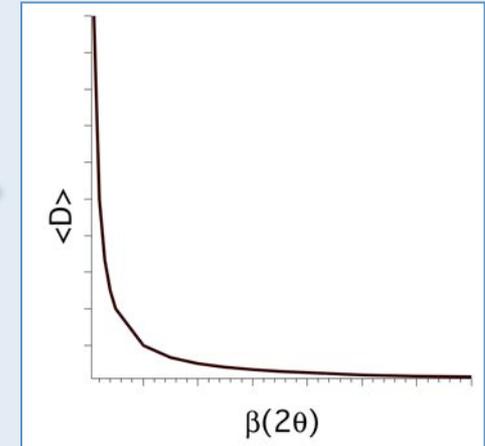
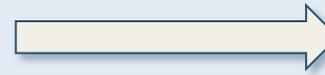
- ✓ Photon Source
- ✓ Optical Setup & Layout
- ✓ Optical Elements Quality



# Introduction: Scientific Case

- ✓ Crystallite Size and Measured Integral Breadth → Scherrer's Formula:

$$\beta(2\theta) = \frac{K_{\beta} \lambda}{\langle D \rangle \cos \theta}$$



**BUT**

- ✓ Measured Integral Breadth contains the contribution of the Instrumental Profile in terms of Divergence and Aberrations:



- ✓ Error on  $\langle D \rangle$  is large when  $\beta$  is small, i.e. when the instrumental broadening becomes the main feature





INSTRUMENTAL PROFILE FUNCTION CALCULATION IS A  
**GENERAL ISSUE**  
IN ALL SPECTROSCOPY TECHNIQUES

$$h(\varepsilon) = \int_{-\infty}^{\infty} g(\eta) f(\varepsilon - \eta) d\eta.$$

Klug, H. & Alexander, L., "X-ray Diffraction Procedure for Polycrystalline and Amorphous Materials", New York: John Wiley (1974).

The proposed approach can be easily extended to a wide number of experimental techniques.

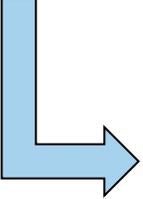
Direct applications will include catalysts, heavily deformed materials and other nanocrystalline systems.



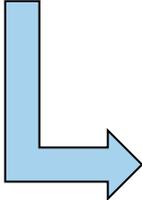
Elettra  
Sincrotrone  
Trieste

# Introduction: Layout of the Presentation

Characterization of optical components for powder diffraction beamlines, to properly calibrate and adjust all component to deliver the beam under the best possible conditions.



Examples of nanostructured materials “at the limit”, where the Instrumental Profile Function is the main feature



Development of a realistic beamlines and powder diffraction experiments simulation/modeling tools based on ray-tracing algorithms, to properly handle and predict the Instrumental Profile Function.



Elettra  
Sincrotrone  
Trieste

# Characterization of a XRPD beamline

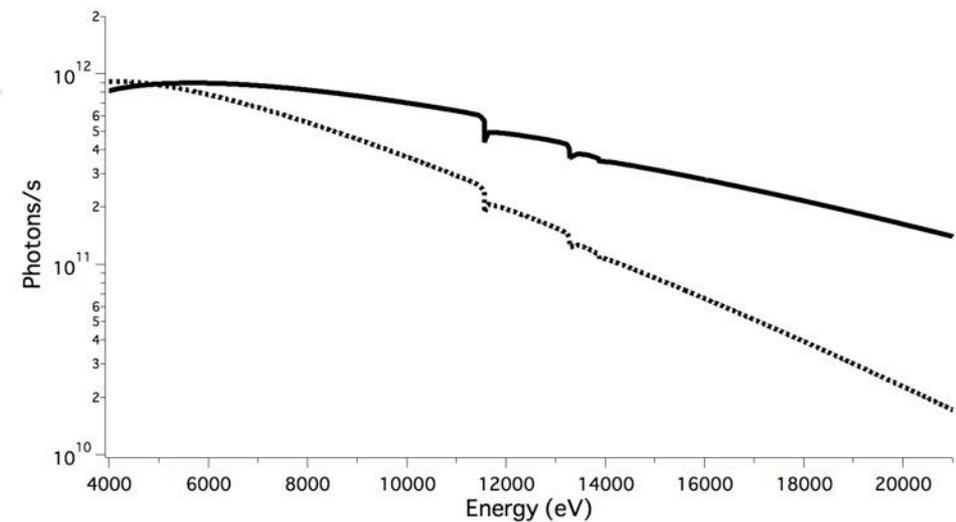
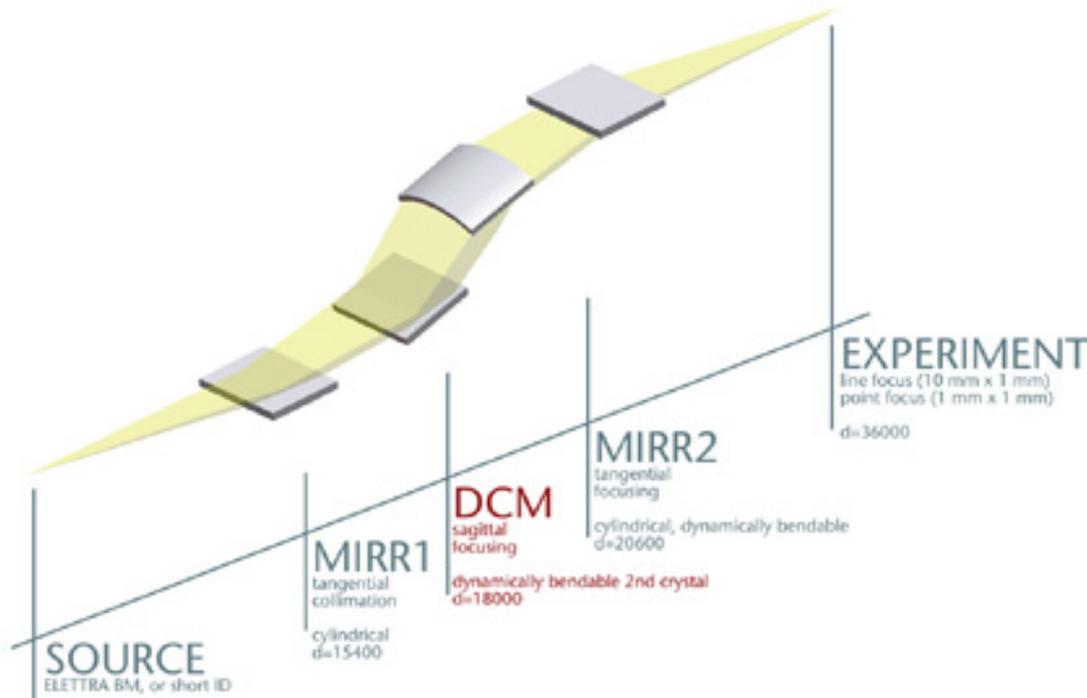
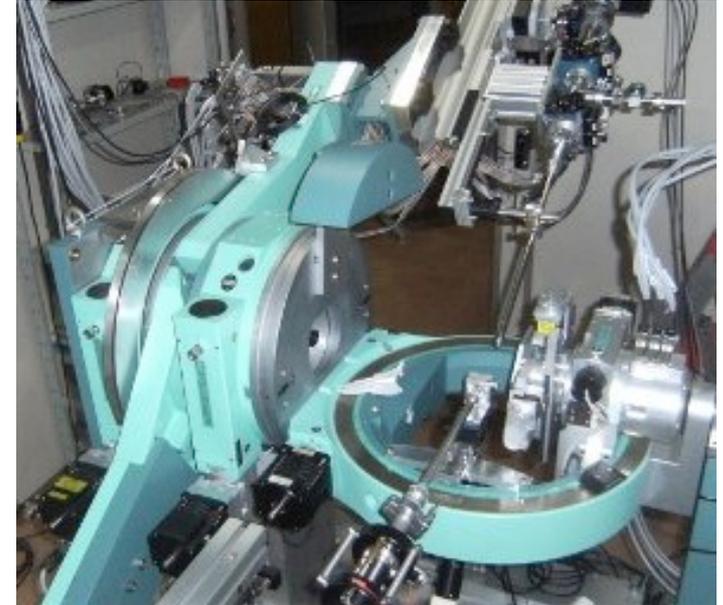


# Characterization of a XRPD beamline

## MCX at Elettra-Sincrotrone Trieste

Beamline optical layout (36 meters) :

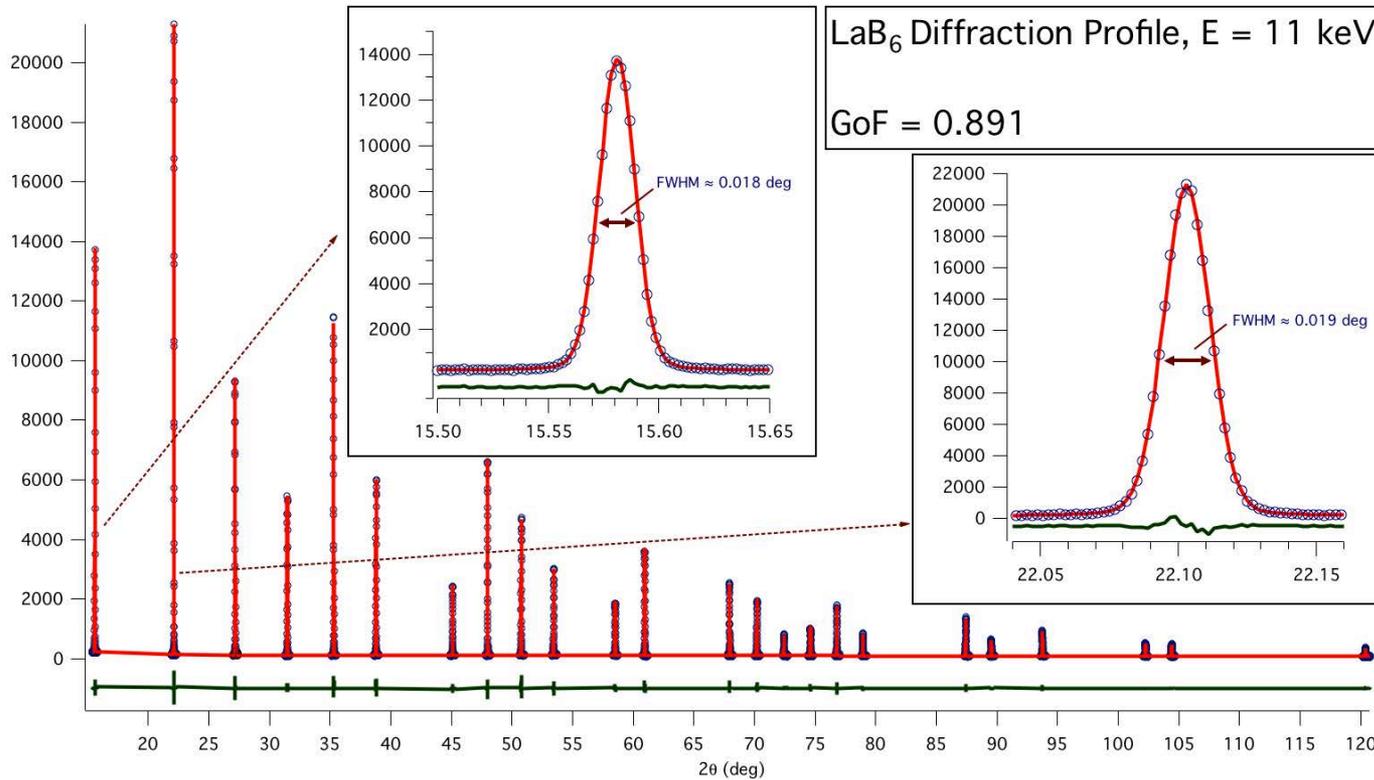
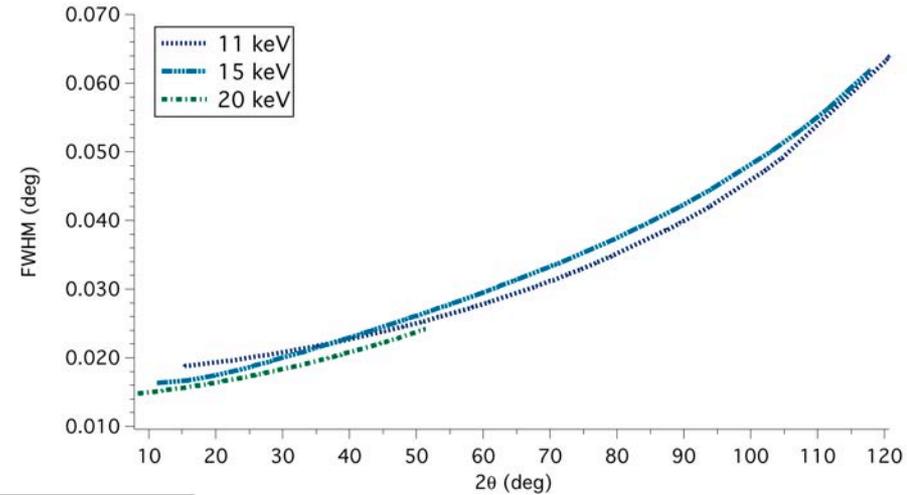
- ✓ Electron Beam Energy 2 GeV
- ✓ Bending Magnet with C.E 3.2 keV
- ✓ Vertically collimating mirror
- ✓ D.C.M., Si(111), sagittally bendable 2<sup>nd</sup> crystal
- ✓ Vertically focusing mirror
- ✓ 2 horizontal slits





# Characterization of a XRPD beamline

Parameterization of the Instrumental Profile Function through LPA of NIST 660a SRM  $\text{LaB}_6$ : Peak profiles as Pseudo-Voigt curves

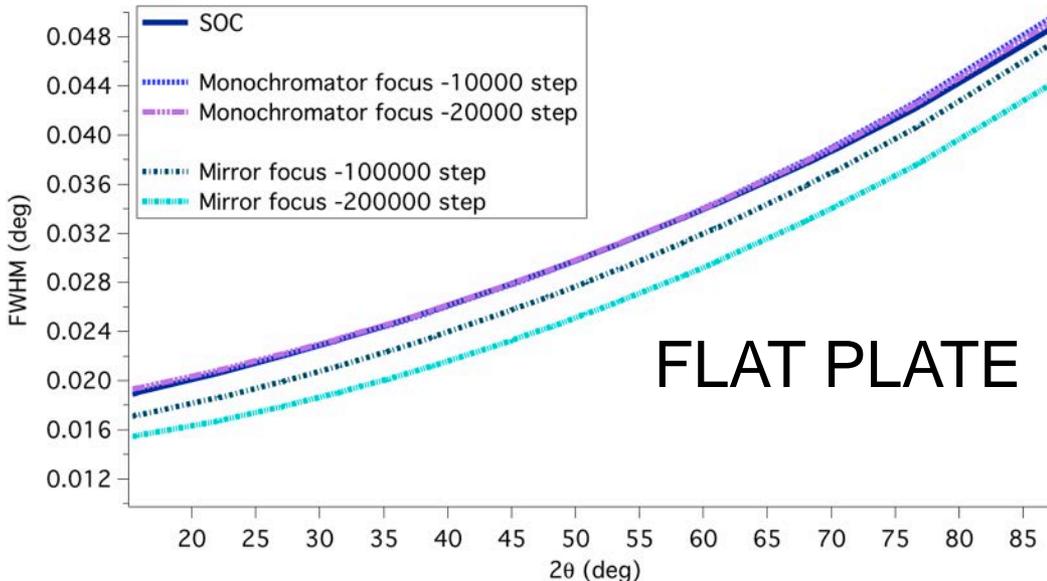
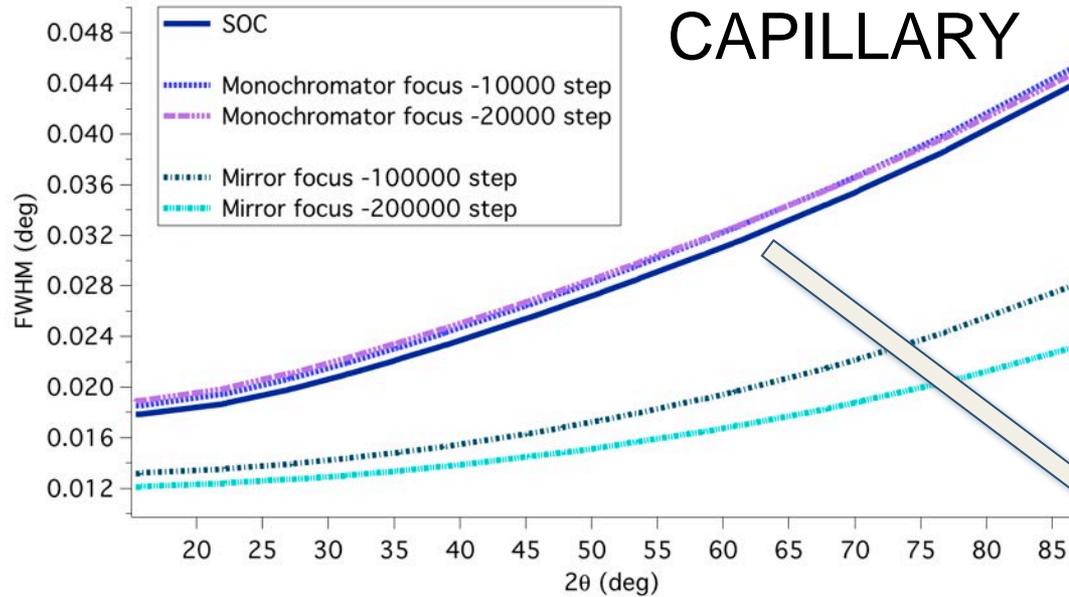


Caglioti's equation is used for the FWHM:

$$FWHM(\theta) = \sqrt{W + V \tan \theta + U \tan^2 \theta}$$



# Characterization of a XRPD beamline

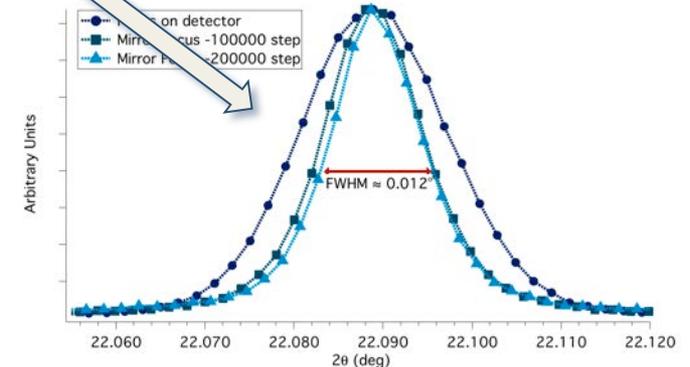


Optical characterization of the IPF:

Best Flux (SOC)



Narrowest peak profile

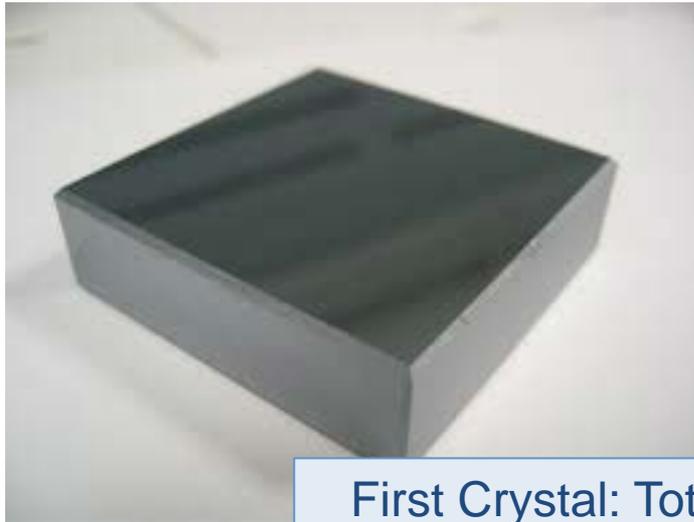


L. Rebuffi, J.R. Plaisier, M. Abdellatif, A. Lausi and P. Scardi, "MCX: a Synchrotron Radiation Beamline for X-ray Diffraction Line Profile Analysis", Z. Anorg. Allg. Chem. (2014), doi: 10.1002/zaac.201400163

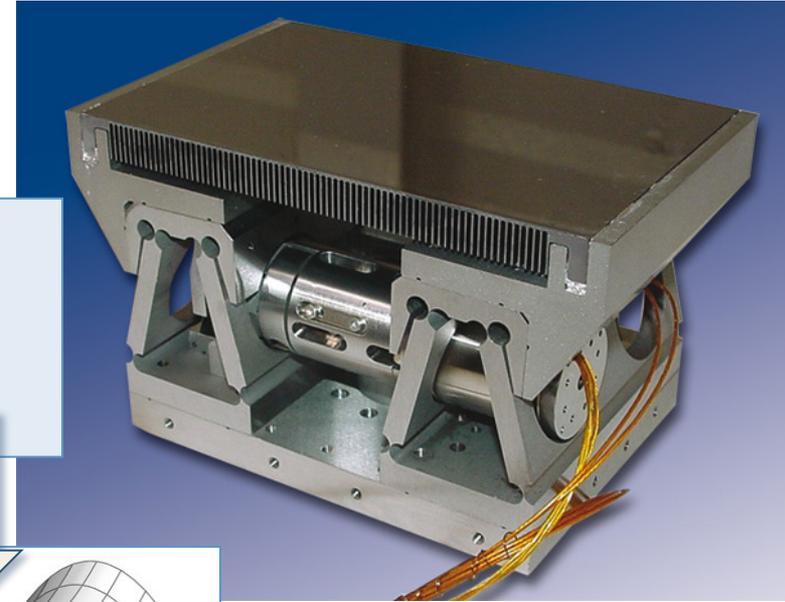


# Characterization of a XRPD beamline

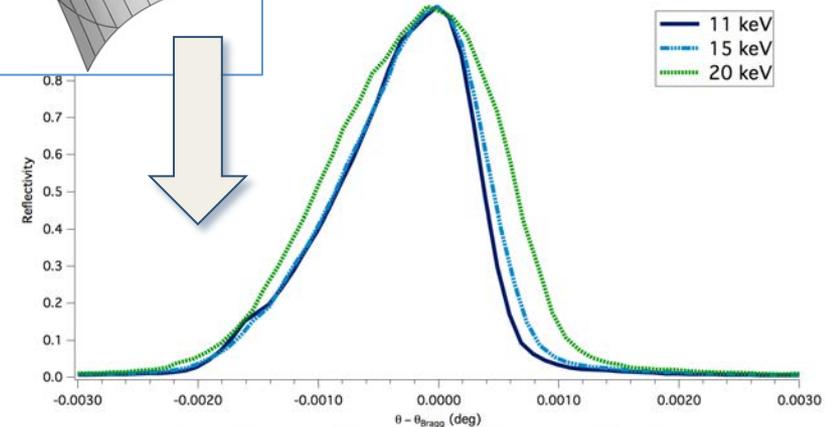
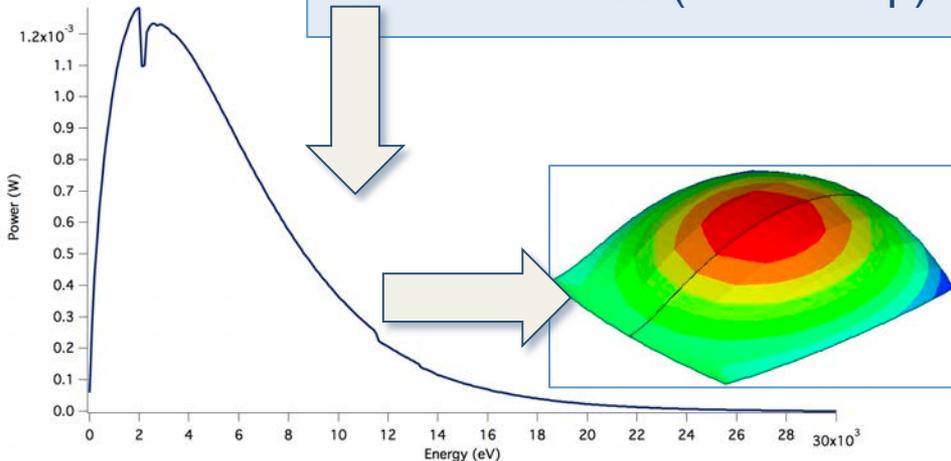
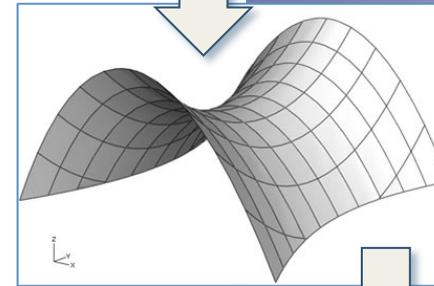
## DCM : Thermo-Mechanical Characterization



Second Crystal:  
Diffraction Profiles to  
analyze mechanical  
aberrations



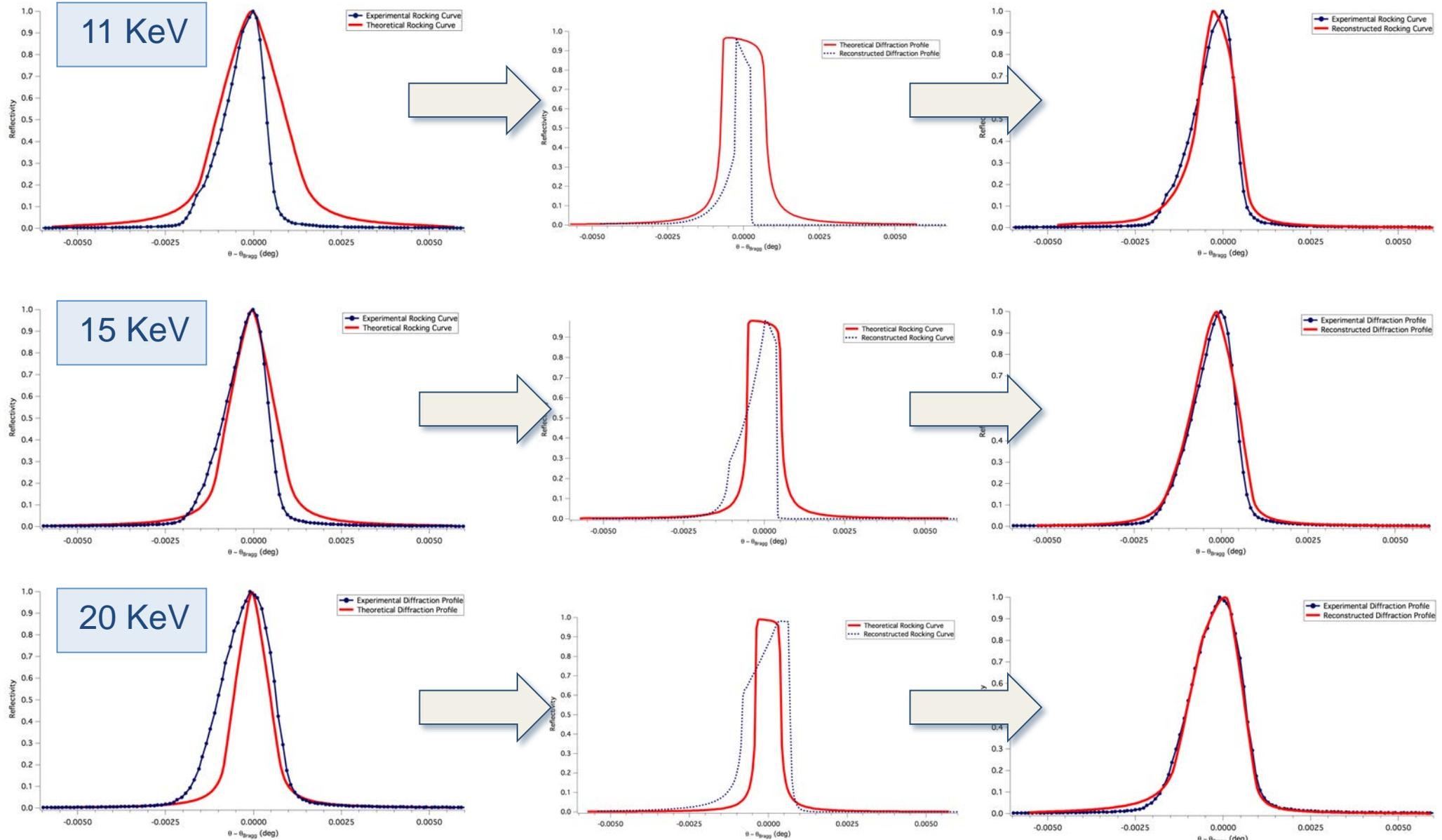
First Crystal: Total Power  
Absorbed to analyze  
thermal issues (heat bump)





# Characterization of a XRPD beamline

## DCM : Second Crystal experimental Rocking Curve reconstruction





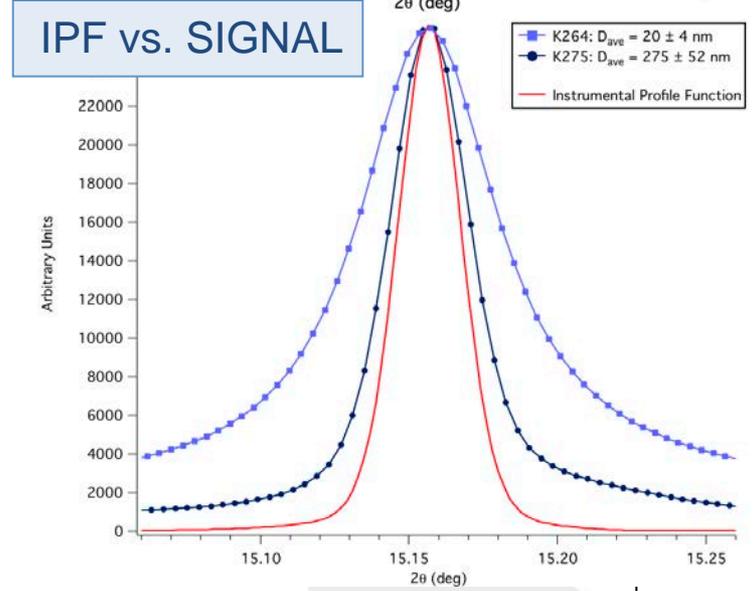
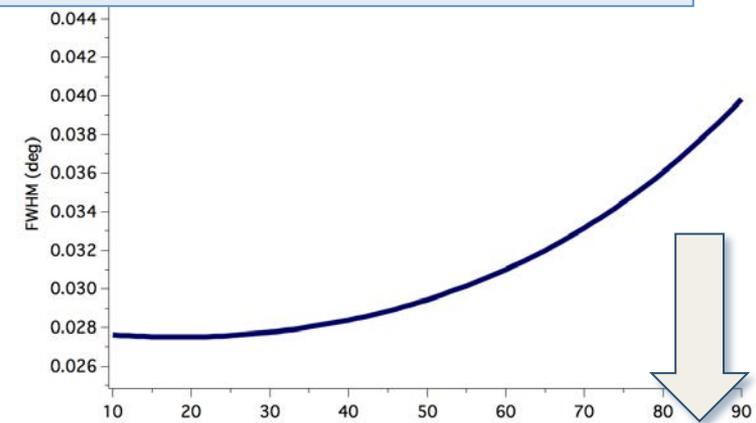
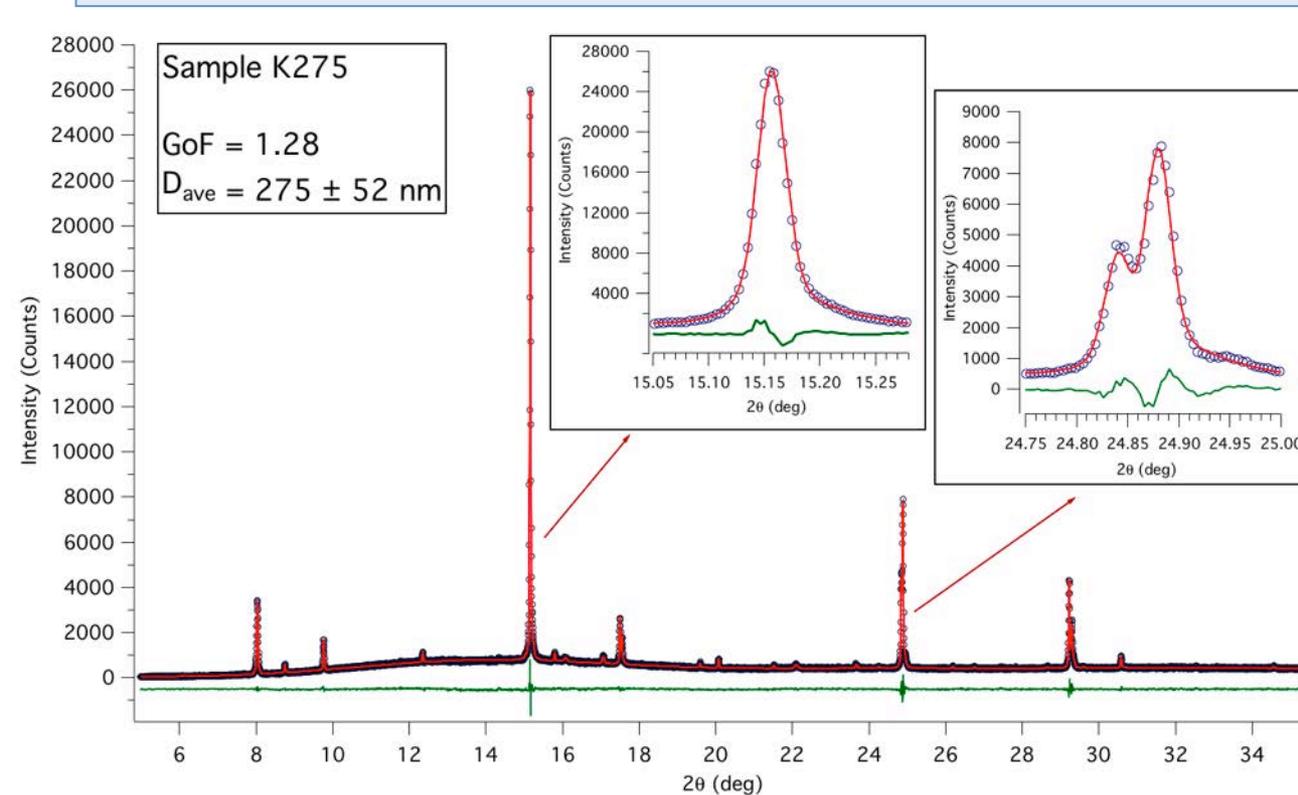
Elettra  
Sincrotrone  
Trieste

## Examples of nanostructured materials with large crystalline domains



# Nanomaterials “at the limit”: Kesterite

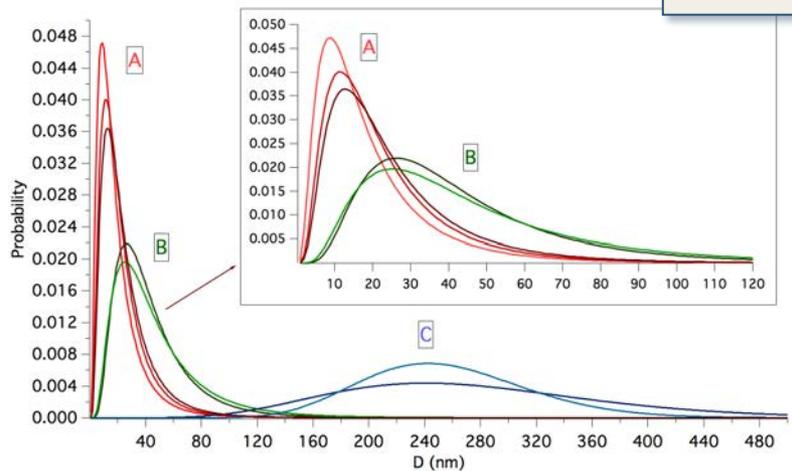
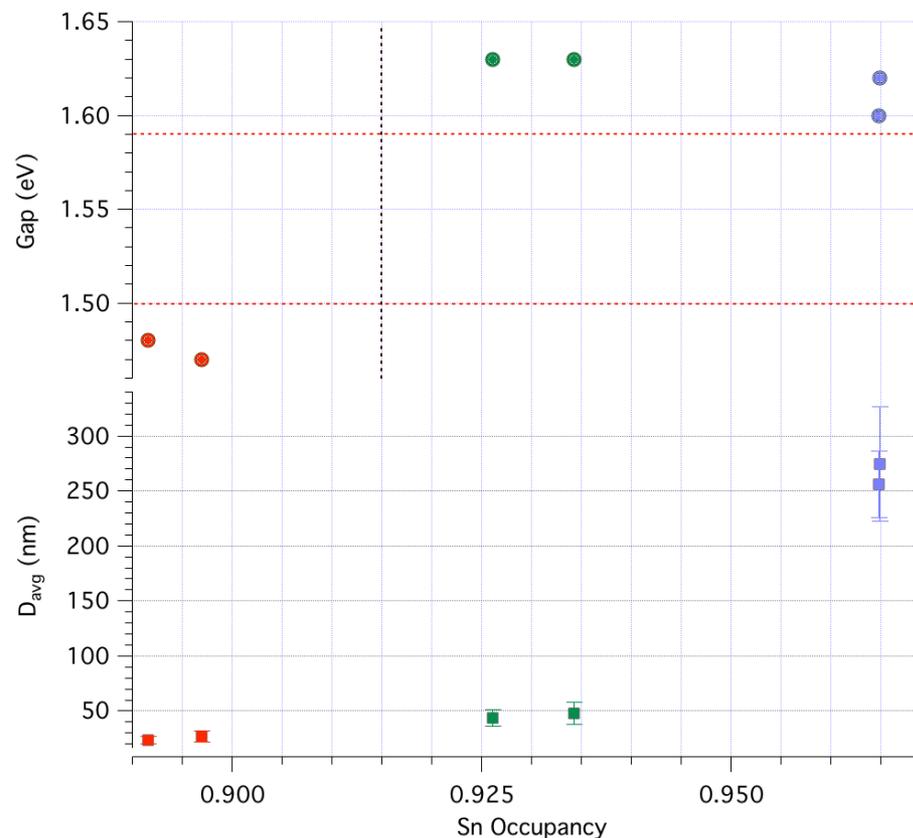
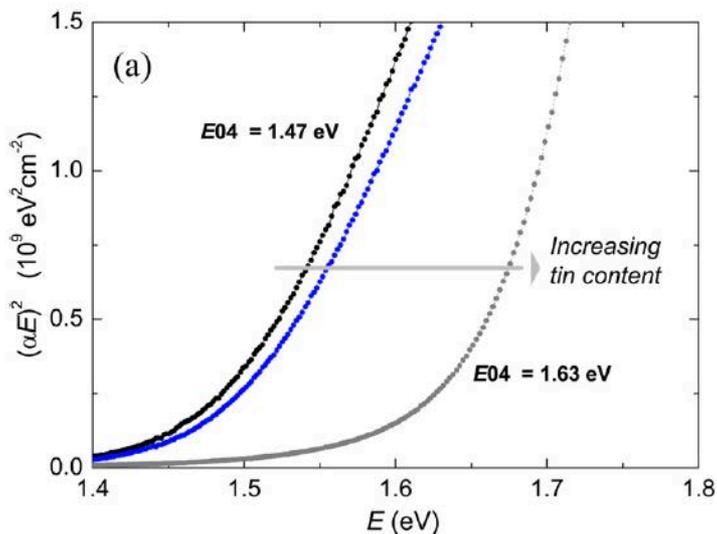
KESTERITE MICROSTRUCTURE STUDIED AT ELETTRA MCX BEAMLINE:  
AN EXAMPLE OF NANOSTRUCTURED MATERIAL WITH LARGE CRYSTALLINE  
DOMAINS ( $\langle D \rangle \approx 250$  nm)



FILMS OF  $Cu_2ZnSnS_4$  ON A GLASS SUBSTRATE:  
FLAT PLATE CONFIGURATION, BEAM ENERGY = 15 keV



# Nanomaterials “at the limit”: Kesterite



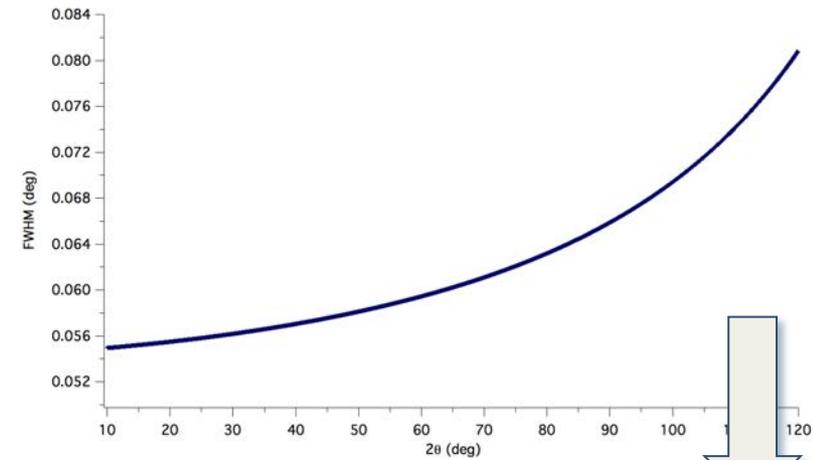
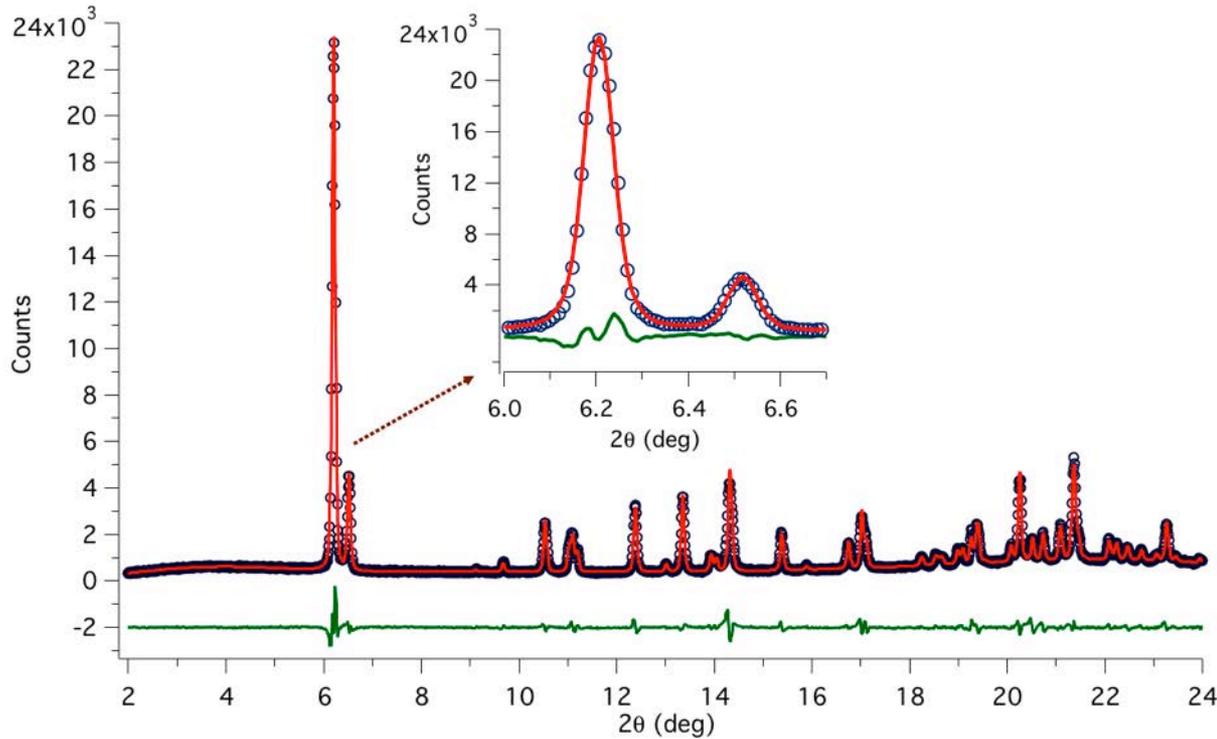
**CORRELATION BETWEEN Sn CONTENT (Occupancy), CRYSTALLINE DOMAIN SIZE DISTRIBUTION AND OPTICAL PROPERTIES (Energy Gap)**

C. Malerba, C. L. Azanza Ricardo, M. Valentini, F. Biccari, M. Müller, L. Rebuffi, E. Esposito, P. Mangiapane, P. Scardi, and A. Mittiga, “Stoichiometry effect on  $\text{Cu}_2\text{ZnSnS}_4$  thin films morphological and optical properties”, J. Renewable Sustainable Energy (2014), 6, 011404

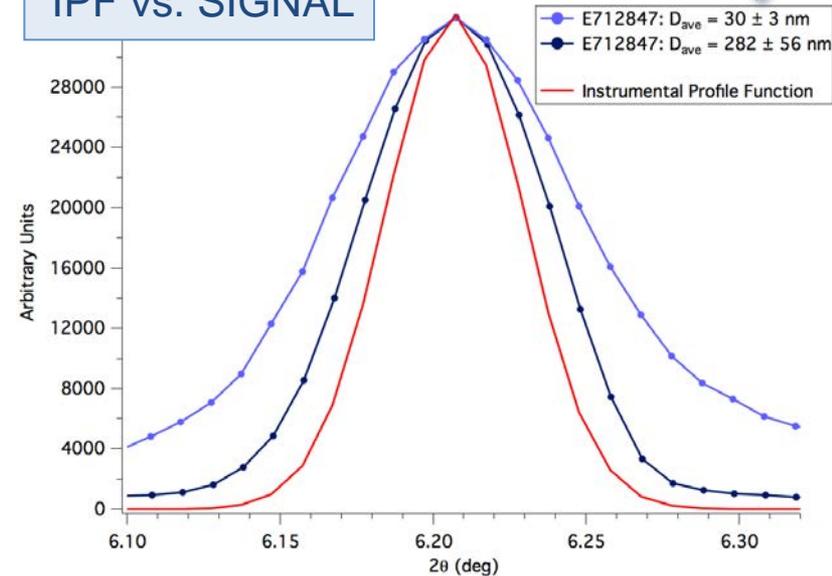


# Nanomaterials “at the limit”: Efavirenz

ANTI-HIV DRUG EFAVIRENZ MICROSTRUCTURE STUDIED AT ELETTRA MCX BEAMLIN, AGAIN WITH LARGE CRYSTALLINE DOMAINS ( $\langle D \rangle \approx 300$  nm)

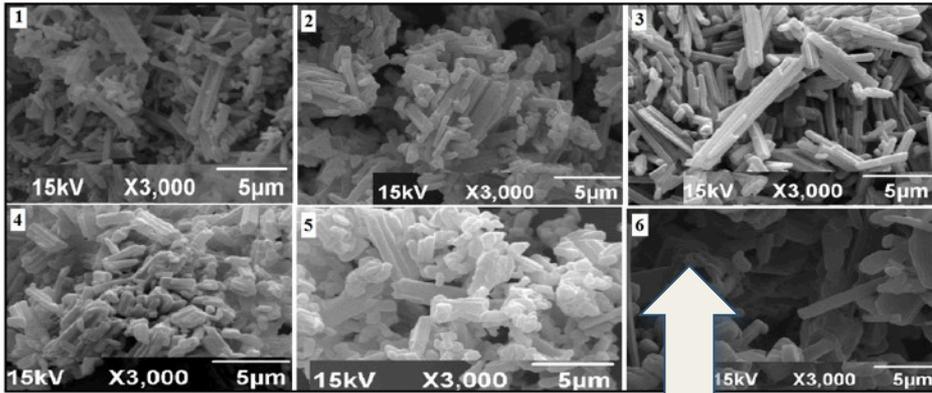


IPF vs. SIGNAL

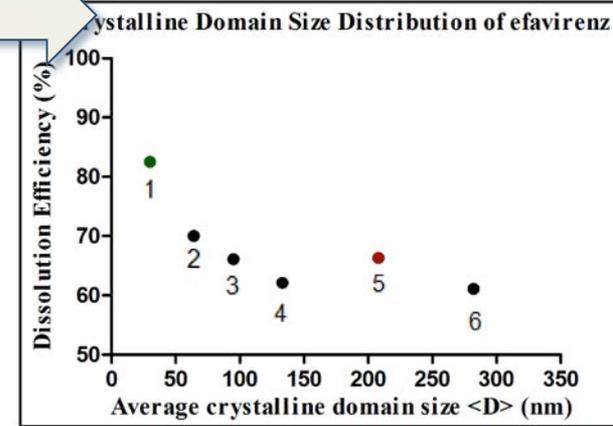


MICRONIZED POWDER:  
CAPILLARY CONFIGURATION, BEAM ENERGY = 8 keV  
(ORGANIC MATERIAL)

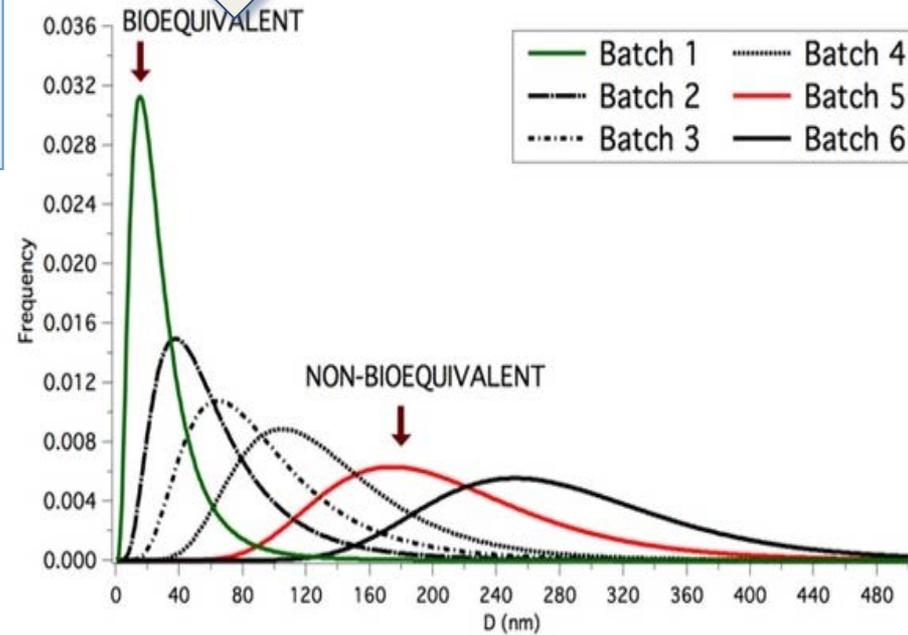
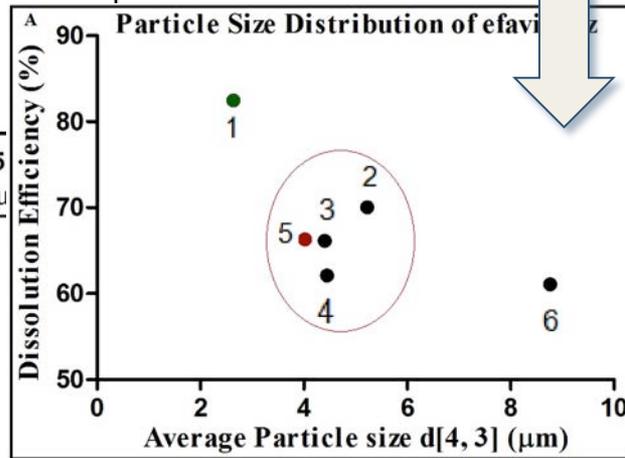
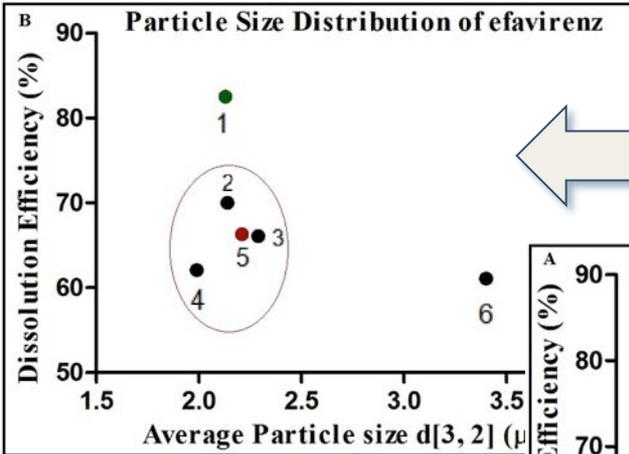
# Nanomaterials “at the limit”: Efavirenz



XRPD AND LPA:  
CORRELATION  
BETWEEN  
CRISTALLINE  
DOMAIN SIZE AND  
BIOAVAILABILITY



NO CORRELATION  
BETWEEN PARTICLE  
SIZE AND  
BIOAVAILABILITY



C. Fandaruff, M.A. Segatto Silva, D.C. Galindo Bedor, D. Pereira de Santana, H.V. Antunes Rocha, L. Rebuffi, C.L. Azanza Ricardo, P. Scardi and S.L. Cuffini, “Correlation between crystalline microstructure and bioequivalence in Anti-HIV Drug Efavirenz”, *Eur. J. Pharm. Biopharm.* 91 (2015), 52–5, doi: 10.1016/j.ejpb.2015.01.020



Elettra  
Sincrotrone  
Trieste

# Understanding the Instrumental Profile: a realistic ray-tracing approach



# A realistic ray-tracing approach

## MATHEMATICAL DESCRIPTION OF THE INSTRUMENTAL BROADENING

OPTICAL ORIGIN OF THE DIFFRACTED BEAM DIVERGENCE:

- ✓ G. CAGLIOTI et al. (1958)
- ✓ T.M. SABINE (1987)

OPTICAL ABERRATIONS EFFECTS:

- ✓ A.D. ZUEV (2006,2008)
- ✓ R.W. CHEARY et al. (2004)

THE OPTICAL NATURE OF THE INSTRUMENTAL PROFILE SUGGESTS A RAY-TRACING SIMULATION APPROACH

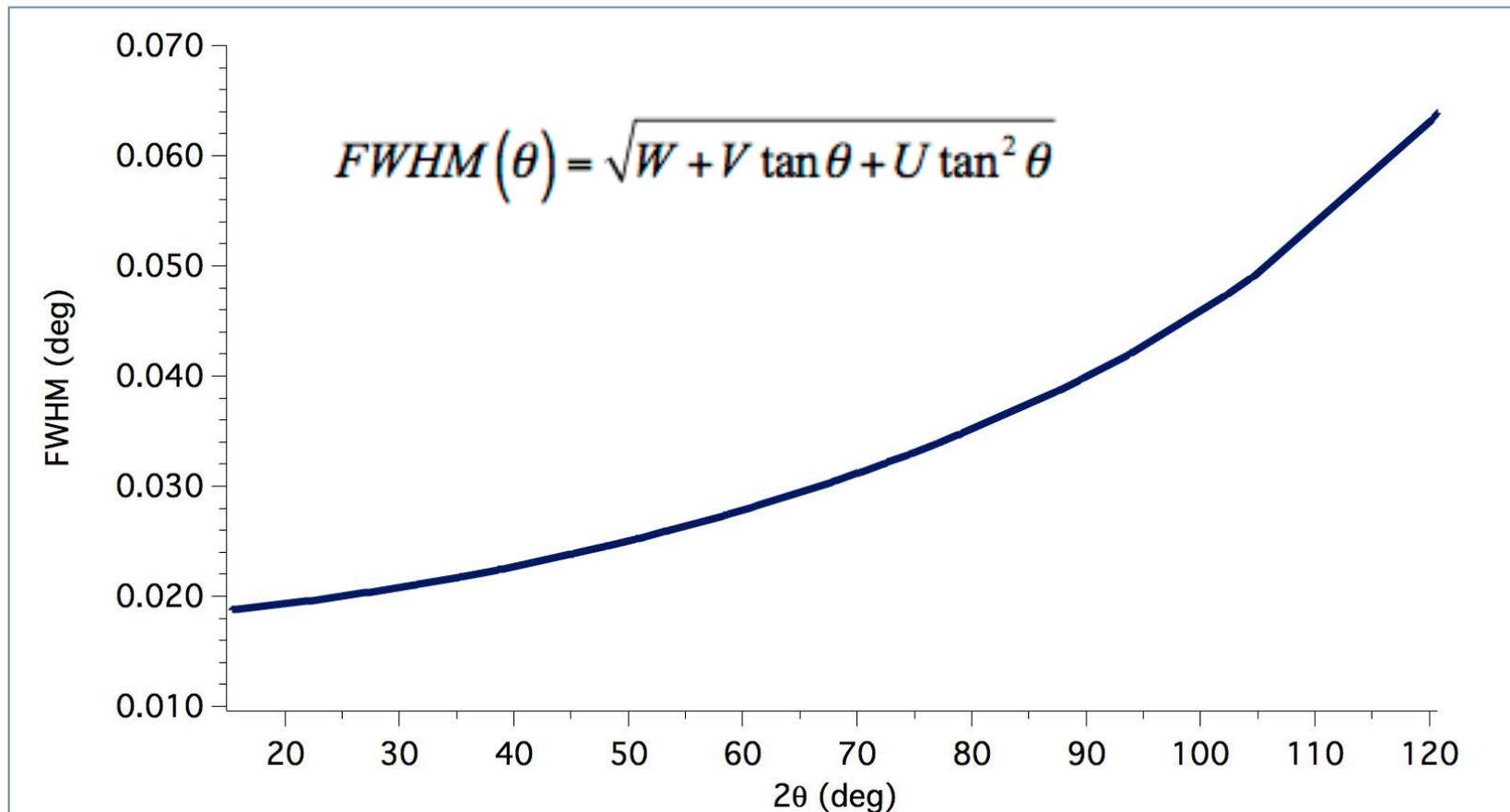
M.Leoni, U. Welzel, P. Scardi, "Polycapillary Optics for Materials Science Studies: Instrumental Effects and Their Correction", J. Res. Natl. Inst. Stand. Technol., 109, 27-48 (2004)

P. Scardi, L. Lutterotti, P. Maistrelli, "Experimental Determination of the Instrumental Broadening in the Bragg-Brentano Geometry," Powder Diffr. 9, 180-186 (1994)



# A realistic ray-tracing approach

INSTRUMENTAL PROFILE FUNCTION IS USUALLY REPRESENTED AND PARAMETRIZED WITH THE CAGLIOTI'S EQUATION FOR THE FULL WIDTH HALF MAXIMUM OF THE INSTRUMENTAL PEAK PROFILE, REPRESENTED AS PSEUDO-VOIGT CURVES





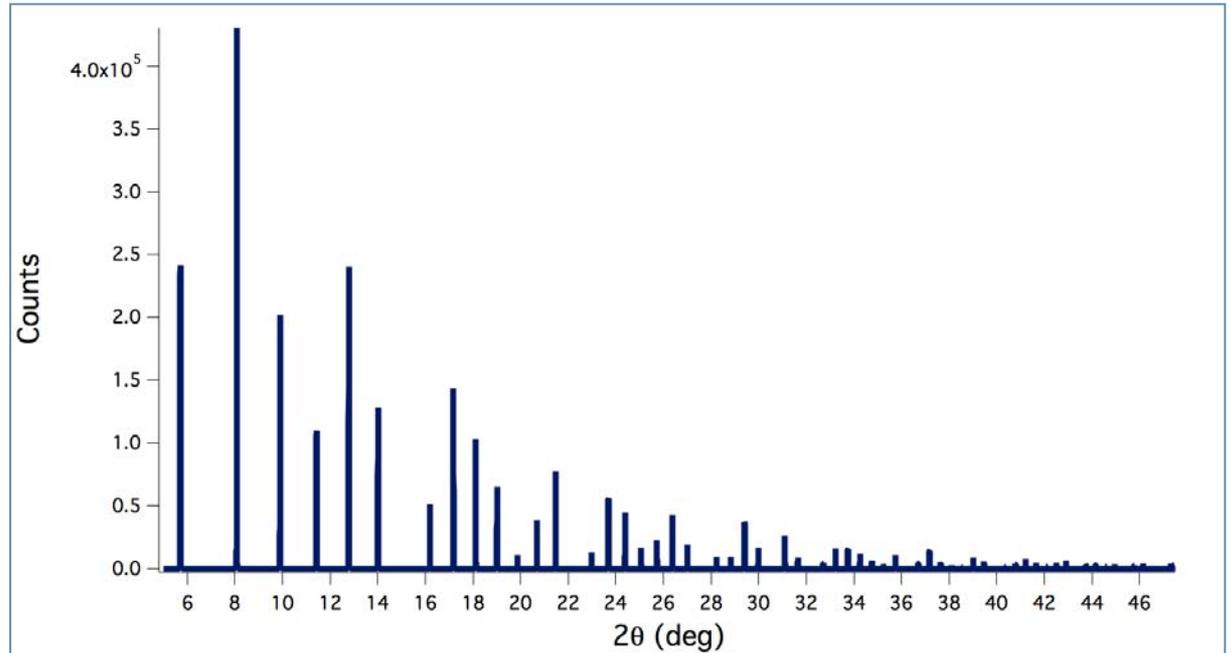
Elettra  
Sincrotrone  
Trieste

# A realistic ray-tracing approach

Experimental Instrumental  
Profile Function  
characterization



It can be obtained  
analyzing the diffraction  
pattern from a sample of  
 $\text{LaB}_6$  a standard  
reference material  
produced by NIST

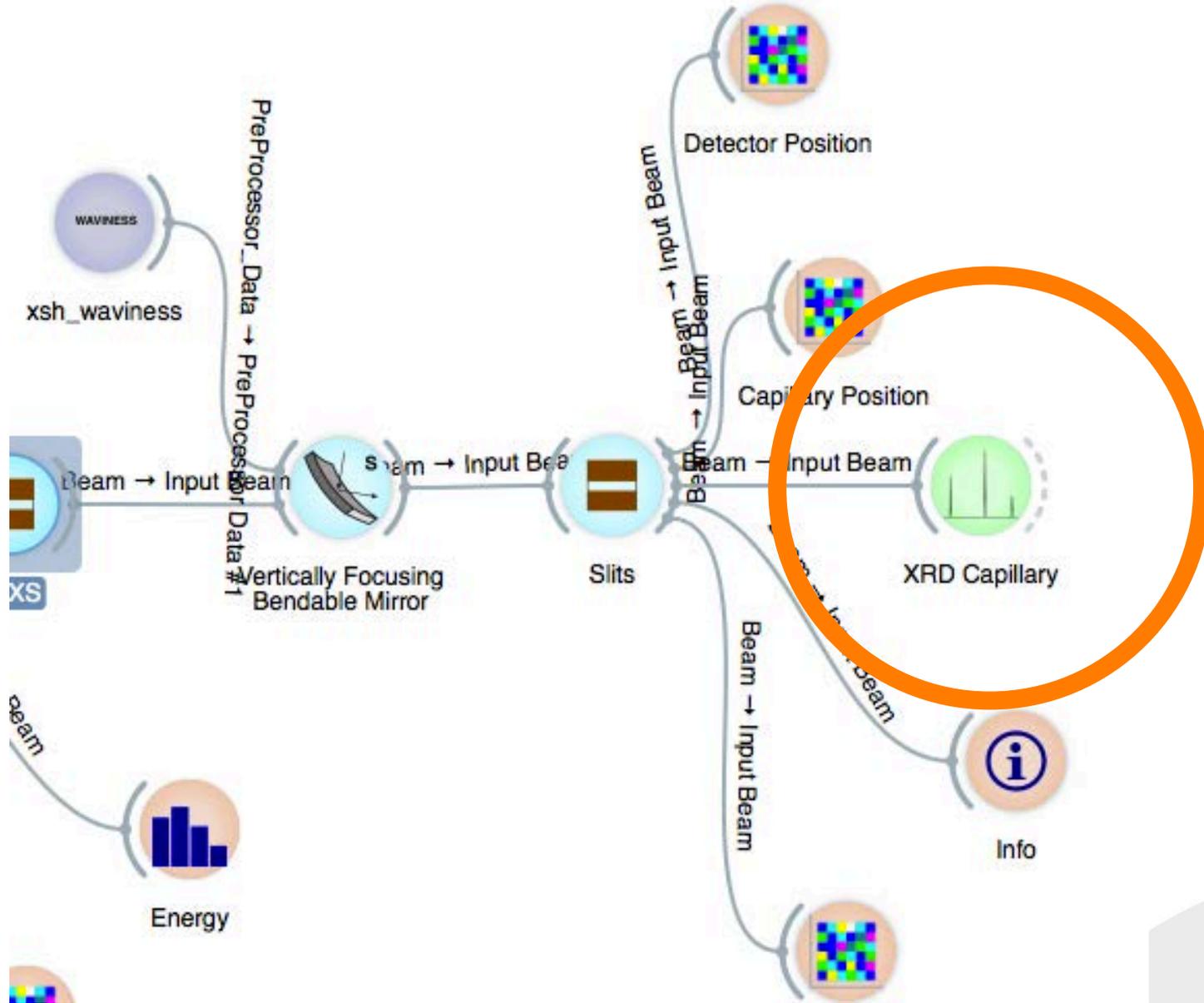


A realistic approach starts from  
the simulation of the interaction of  
the SHADOW photon beam with a  
capillary filled by such a standard  
reference material

L. Rebuffi, J.R. Plaisier, M. Abdellatif, A. Lausi, P. Scardi, "MCX: a Synchrotron Radiation Beamline for X-ray Diffraction Line Profile Analysis", *Z. anorg. allg. Chem.* (2014), doi: 10.1002/zaac.201400163

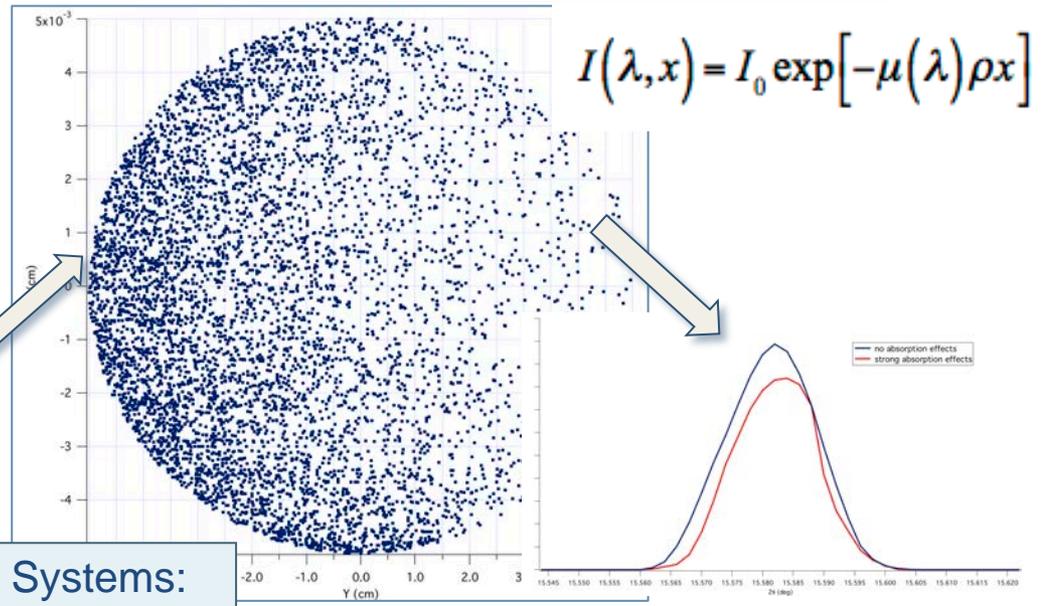
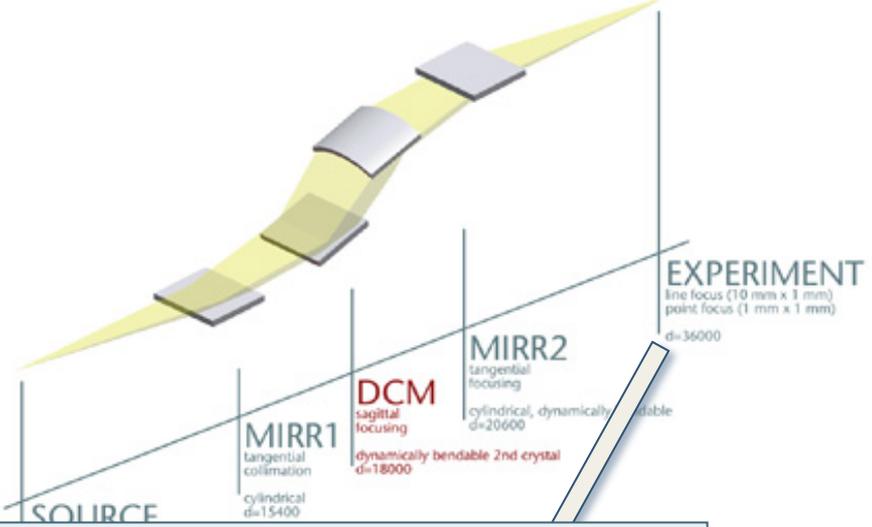


# XRPD ray-tracing simulation widget



# Ray-Tracing of LaB<sub>6</sub> (NIST 660a) Profile

## X-ray Absorption Effects

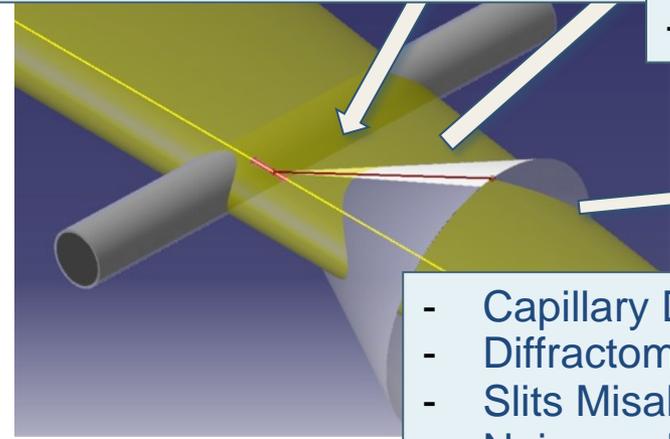


## Ray-Tracing of Diffraction SHADOW Beam taking into account:

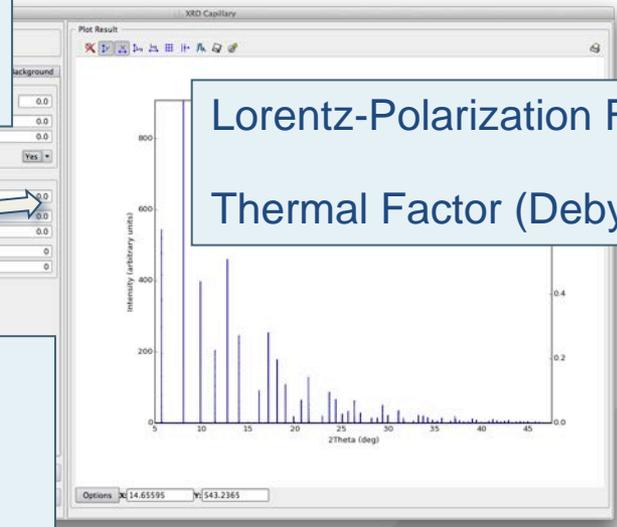
- Beam divergence
- Energy Distribution

## Different Detection Systems:

- Slits
- Analyzer Crystal
- Area Detector



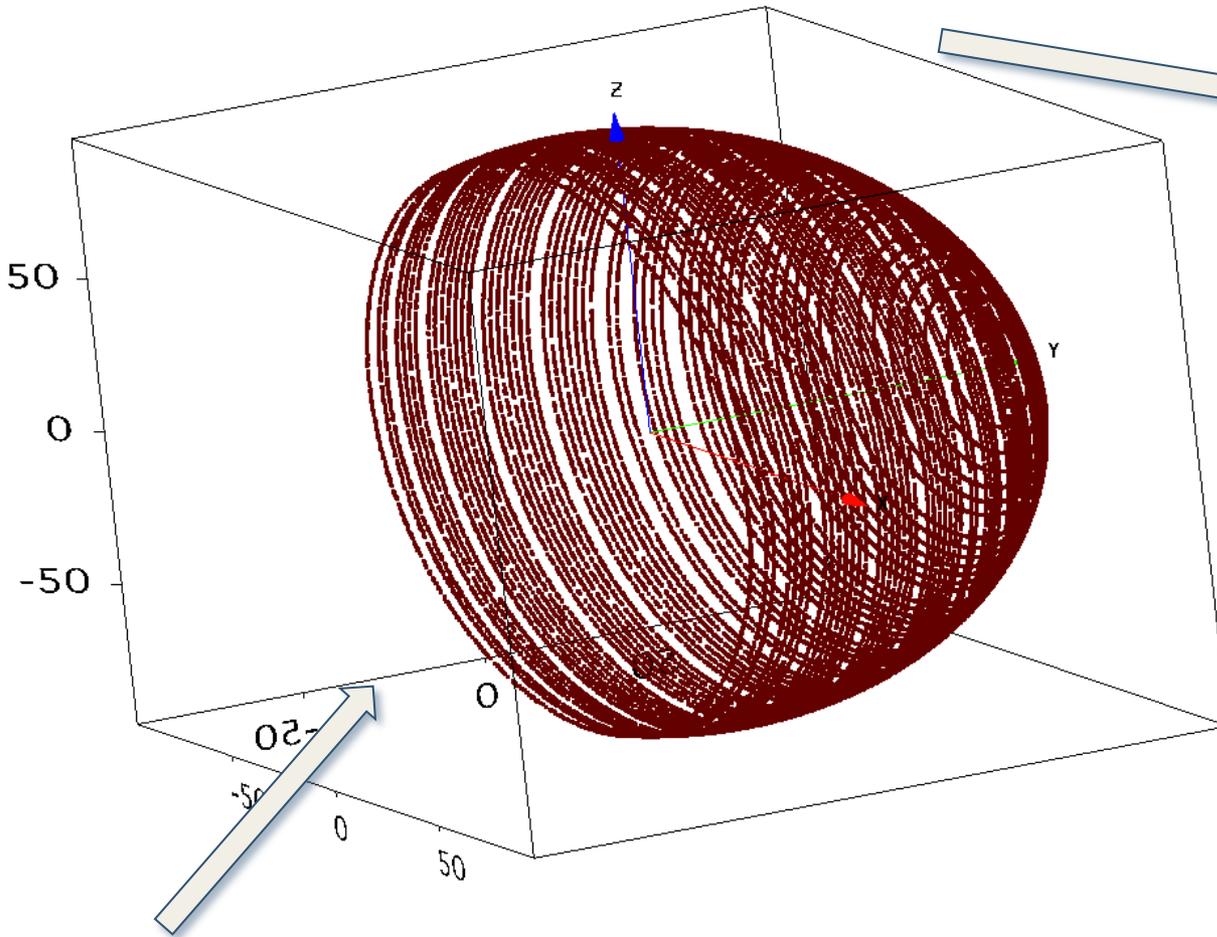
- Capillary Displacement and Wobbling
- Diffractometer Misalignment
- Slits Misalignment
- Noise and Background



## Lorentz-Polarization Factor Thermal Factor (Debye-Waller)

L. Rebuffi, P. Scardi and M. Sanchez del Rio, "Design and management of a powder diffraction beamline for Line Profile Analysis: a realistic ray-tracing approach", Powder Diffraction (2014) accepted for publication.

# Understanding IPF



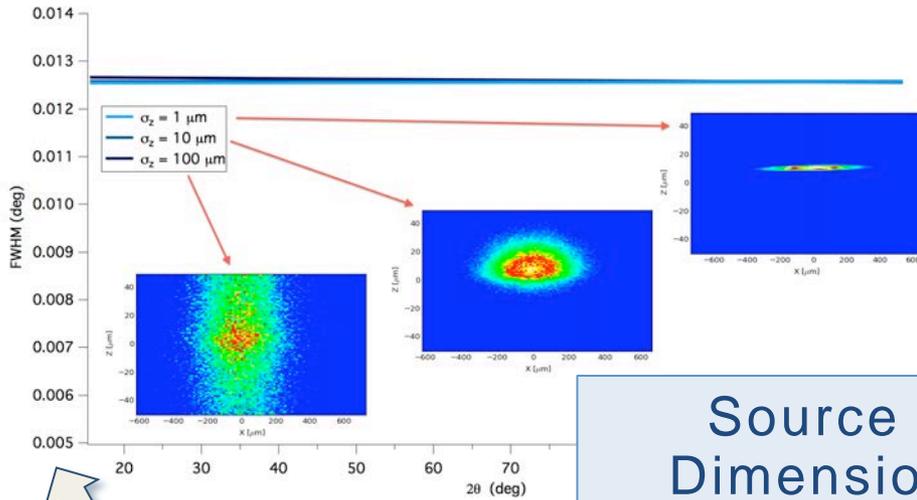
Debye Rings generated as SHADOW rays

Thanks to SHADOW approach and capabilities it will be possible to study the different elements contributing to the IPF separately, like:

- Beam size
- Beam divergence
- $\Delta E/E$  of monochromator (and slits)
- Capillary size
- Diffractometer geometry and optical setup
- Sample material



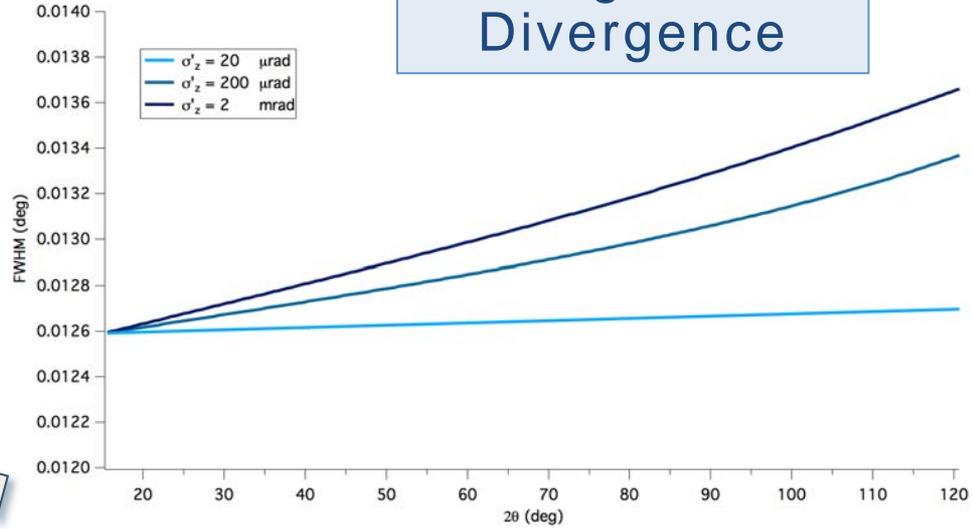
# Understanding IPF



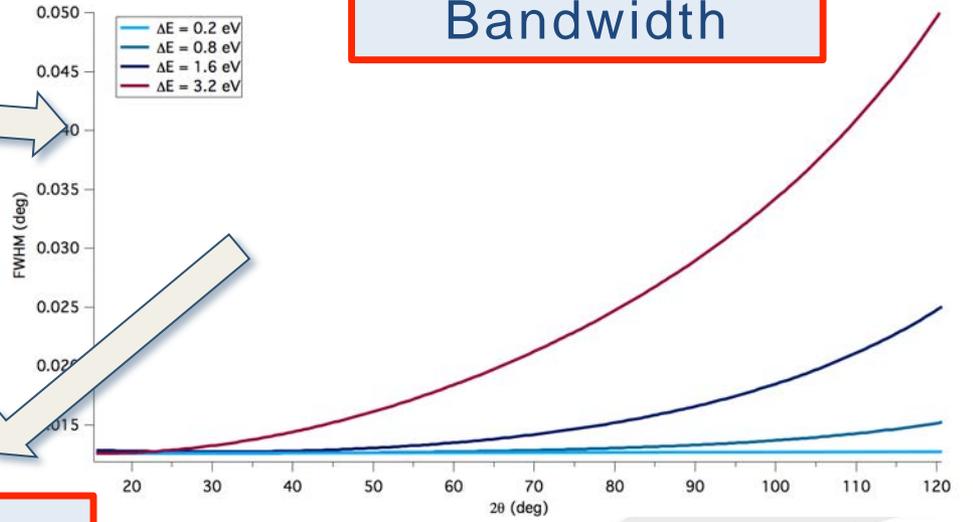
Source Dimension

With the ray-tracing approach and using SHADOW features it was possible to study independently single contributions to IPF

Angular Divergence



Bandwidth



MCX @ Elettra: DCM diffraction profile plays a key role

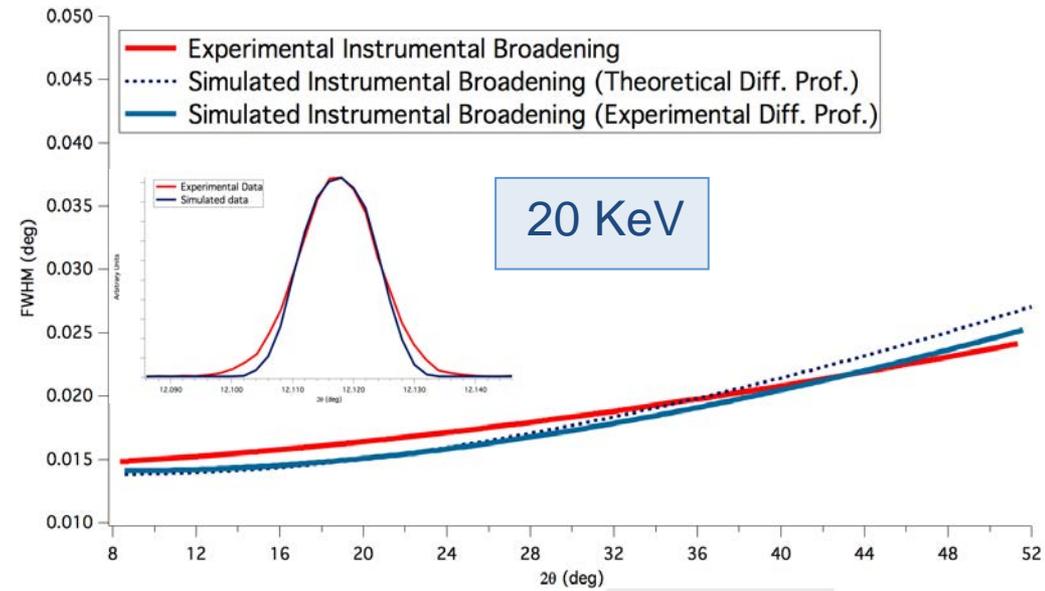
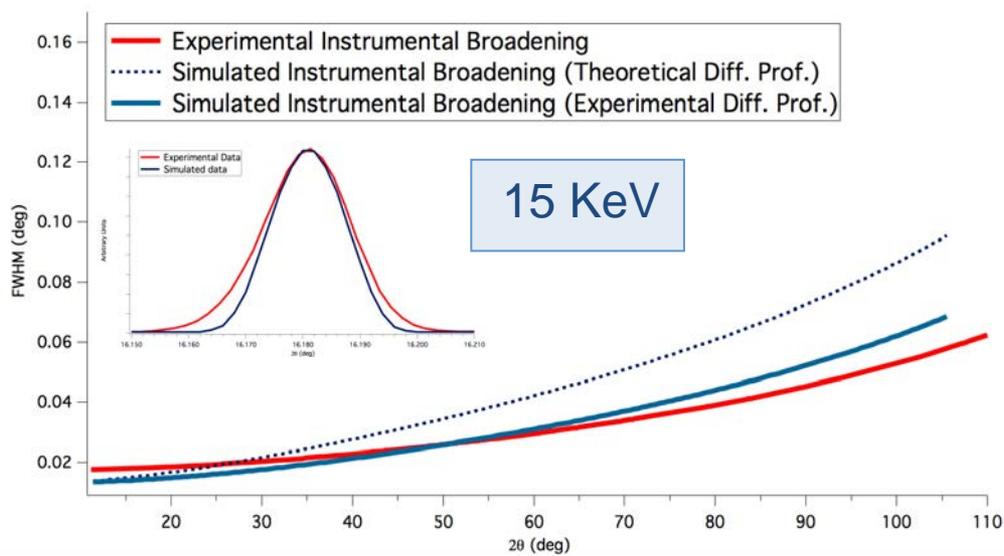
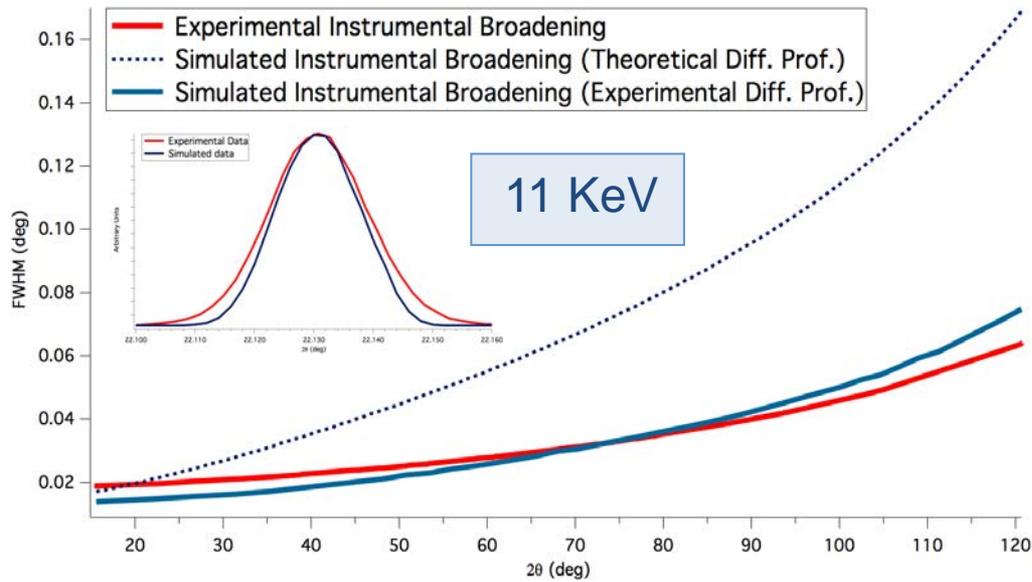


# Understanding IPF: MCX @ Elettra

MCX @ Elettra: DCM diffraction profile plays a key role



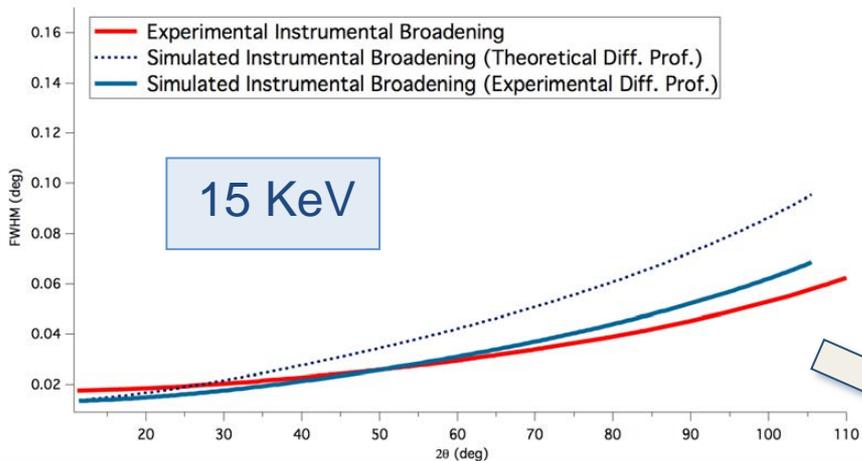
Only reconstructed experimental rocking curves lead to a satisfactory reproducibility of IPF





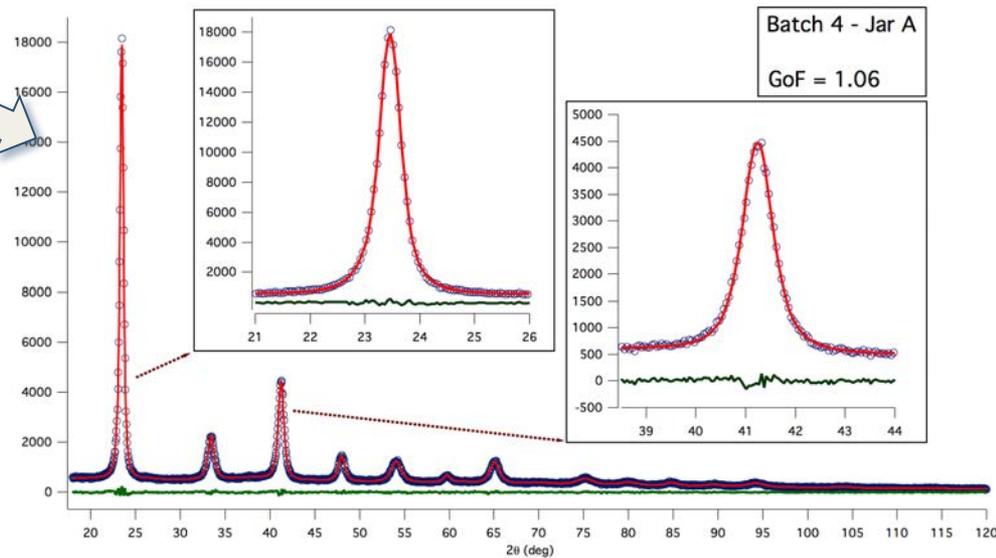
Eletra  
Sincrotrone  
Trieste

# Quality of the Simulation: LPA of FeMo



LPA of FeMo XPRD patterns from MCX @ Eletra with **Simulated Instrumental FWHM( $\theta$ )**

	Parameter	WPPM with experimental IPF	WPPM with simulated IPF
Size	$\langle D \rangle$	9.3(8) nm	9.4(8) nm
	<i>s.d.</i>	5.9(9) nm	5.7(9) nm
	$\langle D \rangle_s$	10.2(9) nm	10.3(9) nm
	$\langle D \rangle_v$	10.9(9) nm	11.0(9) nm
Strain	$\rho$	$4.5(4) \cdot 10^{16} \text{ m}^{-2}$	$4.7(3) \cdot 10^{16} \text{ m}^{-2}$
	$R_e$	4.3(4) nm	4.1(4) nm
	$f_E$	0.54(3)	0.54(3)

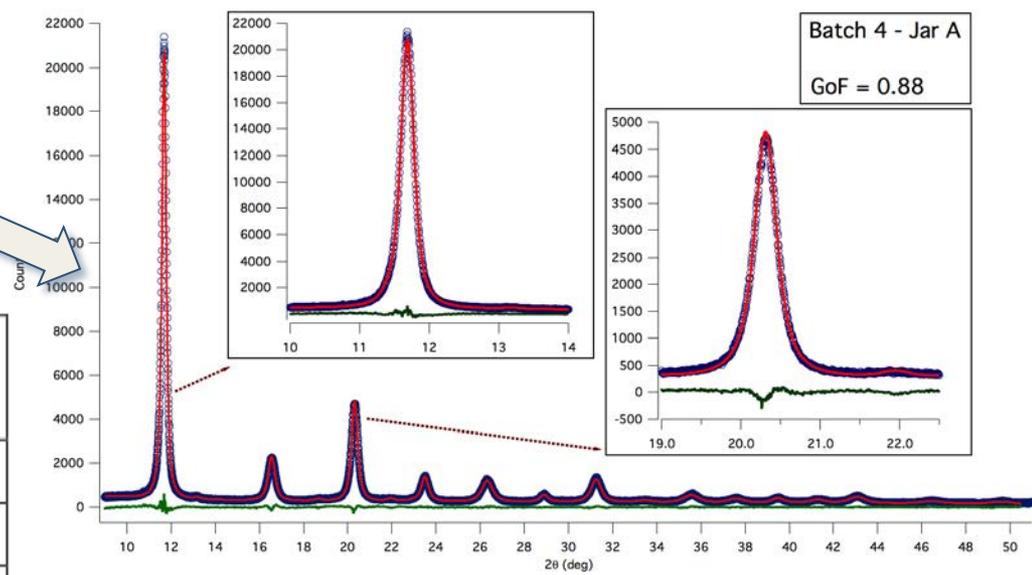
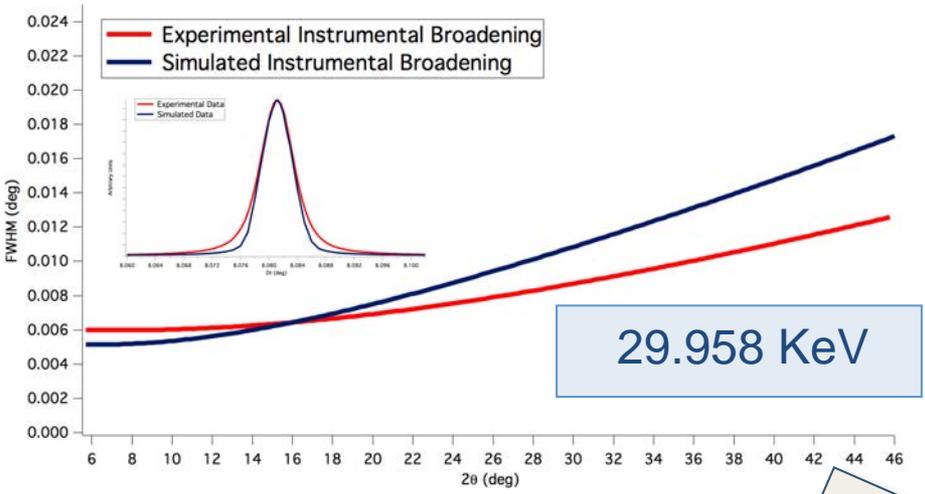


**FULL AGREEMENT**



# Realistic IPF Simulation: 11BM @ APS

LPA of FeMo XPRD patterns from 11BM @ APS with  
**Simulated Instrumental FWHM( $\theta$ )**



	Parameter	WPPM with experimental IPF	WPPM with simulated IPF
Size	$\langle D \rangle$	9.2(3) nm	9.3(3) nm
	<i>s.d.</i>	5.7(4) nm	5.6(3) nm
	$\langle D \rangle_s$	10.1(3) nm	10.2(3) nm
	$\langle D \rangle_v$	10.8(3) nm	10.8(3) nm
Strain	$\rho$	$6.8(1) \cdot 10^{16} \text{ m}^{-2}$	$7.4(2) \cdot 10^{16} \text{ m}^{-2}$
	$R_e$	2.0(1) nm	1.8(1) nm
	$f_E$	0.70(1)	0.71(1)

**FULL AGREEMENT**

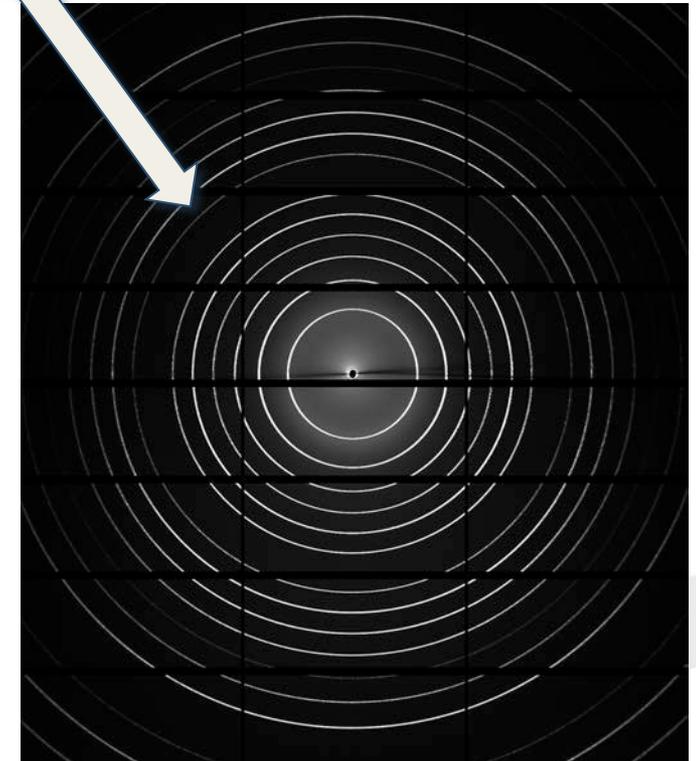
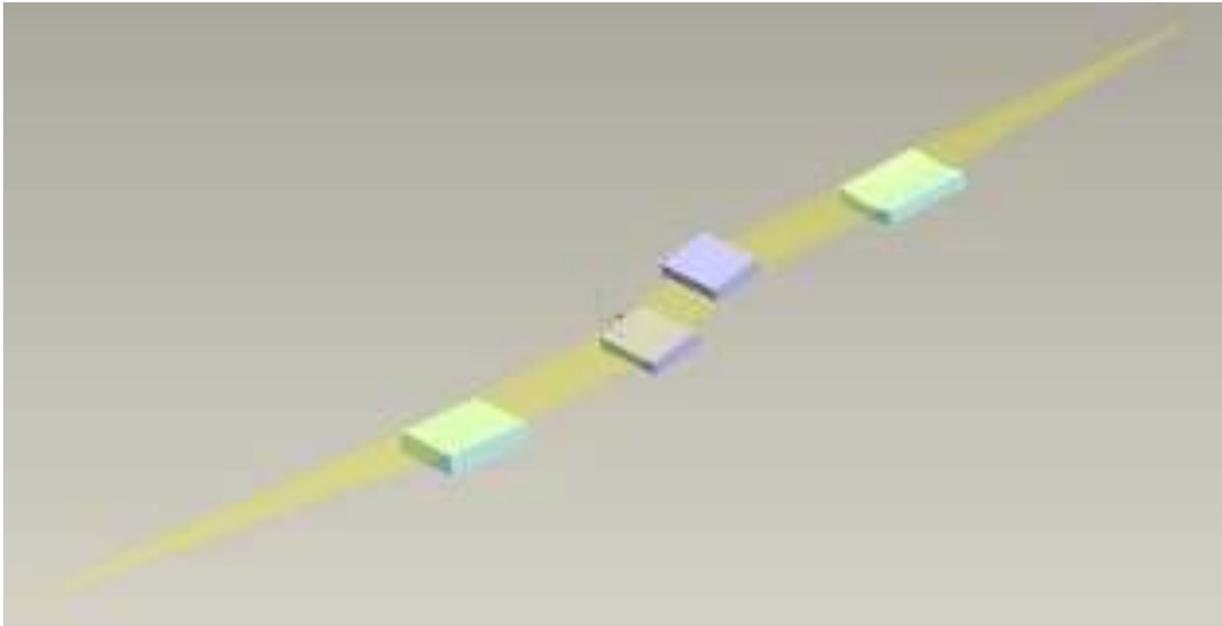


# 2D Detectors: XRD1 @ Elettra

## XRD1 at Elettra-Sincrotrone Trieste

Beamline optical layout (36 meters) :

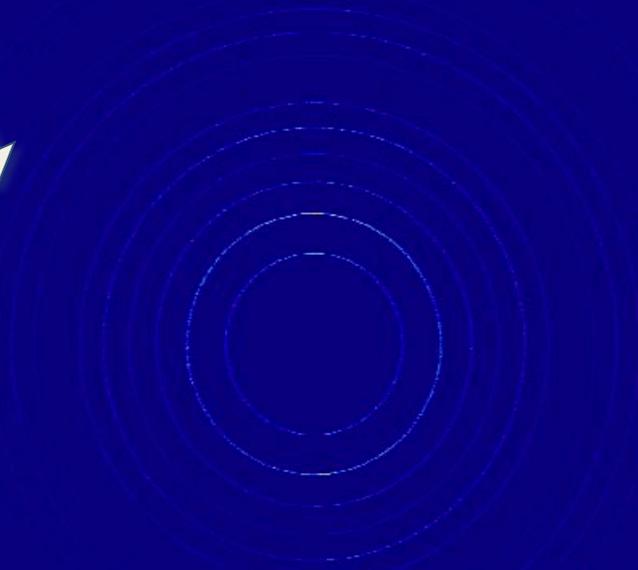
- ✓ Electron Beam Energy 2 GeV
- ✓ NdBF<sub>e</sub> Multipole Wiggler with C.E 4.7 keV
- ✓ Vertically collimating mirror
- ✓ D.C.M., Si(111)
- ✓ Vertically/Horizontally focusing mirror (Thorus)
- ✓ Pilatus 2M Area Detector



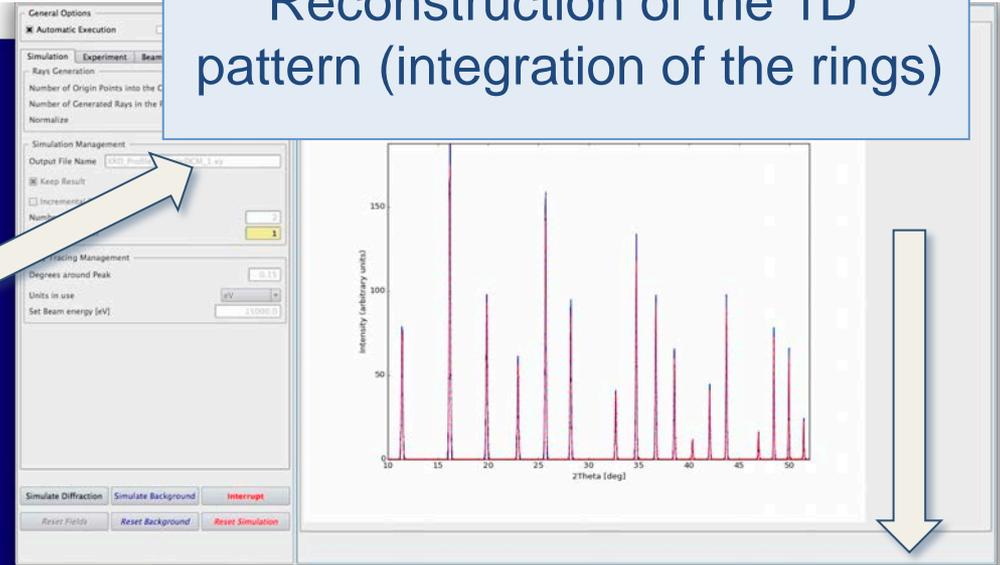


# 2D Detectors: XRD1 @ Elettra

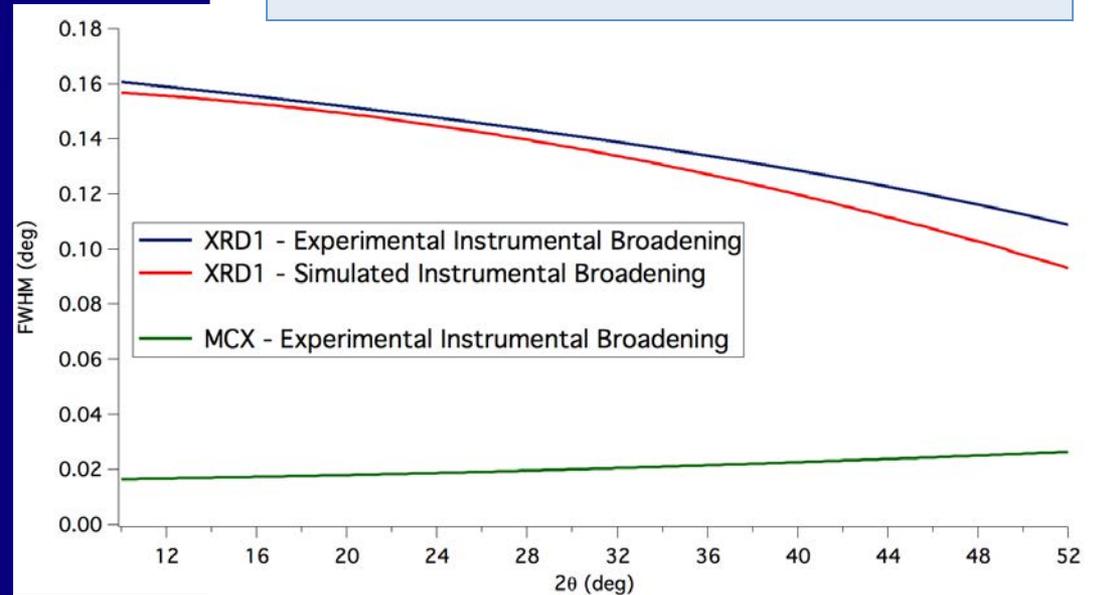
Ray-tracing of the diffracted beam to the detector screen



Reconstruction of the 1D pattern (integration of the rings)



Calculation of the I.P.F.





Elettra  
Sincrotrone  
Trieste

Thank you!



Elettra  
Sincrotrone  
Trieste



[www.elettra.eu](http://www.elettra.eu)